## CALTRANS STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION BID FOR

CONSTRUCTION ON STATE HIGHWAY IN DEL NORTE COUNTY ABOUT 10 MILES SOUTH OF CRESCENT CITY AT 2.8 MILES SOUTH OF MILL CREEK CAMPGROUND In District 01 on Route 101

UNDER

Notice to Bidders and Special Provisions dated April 11, 2016 Project plans approved January 11, 2016 Standard Specifications dated 2010 Standard Plans dated 2010

Identified by

Contract No. 01-0B2804

01-DN-101-17.4

Project ID 0112000112

FEDERAL-AID PROJECT ACSTP-ER-19C6(004)E

Bids open Tuesday, May 10, 2016

Dated April 11, 2016

AADD

Bidder Name: J.F. Shea Construction, Inc.

Bidder ID:VC0000022972

BID TO THE DEPARTMENT OF TRANSPORTATION

DES-OE-0102.1

Name of Bidder J.F. Shea Construction, Inc. Business Street Address P.O. Box 494519 (Include even if P.O. Box is used)

Business P.O. Box

City, State, Zip Redding, CA, 96049

Telephone No: AREA CODE 530-246-4292

Fax No: AREA CODE 530-246-9940 Contractor License No. 769989

Public Works Contractor Registration No. 1000003756

Checksum D0953711

1. Bidder agrees, if this bid is accepted, to enter into a contract with the Department, in the form included in the Standard Specifications, to perform the work provided in the Contract under the terms of the Contract for the price or prices bid.

For a lump sum or unit price based bid, Bidder additionally agrees to perform the work within the number of working days shown on the Notice to Bidders.

For a cost plus time based bid on a contract without a plant establishment period, Bidder additionally agrees to perform the work within the number of working days bid.

For a cost plus time based bid on a contract with a plant establishment period, Bidder additionally agrees to perform the non\*plant establishment work within the number of working days bid for non\*plant establishment work.

- 2. Bidder has and acknowledges the following addenda: 2 04-27-16 Revise Notice to Bidders and Special Provisions,
- 3. Bidder submits this bid with one of the following forms of bidder's security equal to at least 10 percent of the bid: Electronic Bidder's Bond
- 4. Bidder's signature is an affirmation of the included certifications. Bidder is cautioned that making a false certification may result in one or more of the following:

- 4.1 Criminal prosecution
- 4.2 Rejection of the bid
- 4.3 Rescission of the award
- 4.4 Termination of the Contract

PRINTED NAME: Ed Kernaghan AND

TITLE OF PERSON SIGNING: Vice President

Bidder Name: J.F. Shea Construction, Inc. Bidder ID: VC0000022972

No.	   Item   Code	Item	!	Estimated	Į.		
		Description	  Uni  Mea:			t Price	Item Total 
1	070030 PLAN	LEAD COMPLIANCE	     LS	LUMP SUM	   LUMP	SUM	2,740.00
	120090 AREA SIG	CONSTRUCTION NS	     LS	LUMP SUM	   LUMP 	SUM	   5,207.00  
	120100 SYSTEM	TRAFFIC CONTROL	       LS	LUMP SUM	   LUMP	SUM	   111,210.40  
	120120 BARRICAD	TYPE III E	     EA	4.0	   	10.50	42.00
•		TEMPORARY MARKING (PAINT)	    SQF	24.0  [	  - 	11.00	264.00
		TEMPORARY STRIPE (PAINT)	     LF	810.0    810		2.50	   2,025.00  
		CHANNELIZER MOUNTED)	     EA	110.0		40.00	
·	128601 SYSTEM	TEMPORARY SIGNAL	     LS	LUMP SUM	LUMP	SUM	
9 (		PORTABLE LE MESSAGE SIGN	     LS	LUMP SUM	LUMP	SUM	10,415.00
,		TEMPORARY (TYPE K)	     LF	820.0    820.0		52.00	42,640.00    42,640.00
	030946 CUSHION		     EA	7.0    7.0		7,127.00	   49,889.00  
12   N	130100 MANAGEME	NT	     LS	LUMP SUM	LUMP	SUM	25,147.00

Bidder Name: J.F. Shea Construction, Inc. Bidder ID: VC0000022972

   Item   No.	   Item   Code 	   Item   Description	Estimated   Quantity  Unit of  Measure		Item Total
  13 		PREPARE WATER ON CONTROL	   LUMP SUM   LS	   LUMP SUM 	636.00    636.00
   14 	130510   	TEMPORARY MULCH	   1,600.0	   5.00 	8,000.001
    15 	130620  DRAINAGI  PROTECT:		   1.0  EA	   500.00 	500.00
  16 	130640  ROLL 	TEMPORARY FIBER	   1,000.0	   8.00 	8,000.00
  17 	130680  FENCE 	TEMPORARY SILT	   550.(  LF	   5.00 	   2,750.00  
18	130710  CONSTRUC 	TEMPORARY CTION ENTRANCE	   1.0  EA	    2,290.00 	2,290.00
19	130730   	STREET SWEEPING	LUMP SUM	   LUMP SUM 	   32,890.00  
1 20	130900  CONCRETI	TEMPORARY E WASHOUT	LUMP SUM	   LUMP SUM 	3,749.00
	150204  (LF) 	ABANDON CULVERT	   33.0  LF		2,937.00
  22 	150230   	DESTROY WELL	   9.0  EA	 	6,606.001
	·	REMOVE PAINTED I MARKING	   24.0  SQFT	5.00	
	150714  THERMOP:	LASTIC TRAFFIC	2,280.0	1.50	

Bidder Name: J.F. Shea Construction, Inc. Bidder ID: VC0000022972

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	   Item   Code	   Item   Description	ŀ			Price	Item Total
  25 	150722  MARKER 	REMOVE PAVEMENT	     EA	94.0    94.0		9.00	846.00
	150809  (LF) 	REMOVE CULVERT	    LF	82.0		44.00	3,608.00
  27 	150820   	REMOVE INLET	      EA	1.0	1	,113.00	1,113.00
  28 	150821 	REMOVE HEADWALL	     EA	1.0	3	5,561.00	3,561.00
  29 	152301   	RESET MARKER	    EA	 3.0  		203.00	609.00
		COLD PLANE CONCRETE	     SQYD	  0.028 		8.001   8.00	6,800.001 
31	160102  GRUBBING	CLEARING AND	   LS	   UMP SUM 	LUMP S	   MU 	10,017.00
  32 	190101  EXCAVATI		     CY	3,600.0  		40.00j	144,000.00
[ [33 	190185   	SHOULDER BACKING	     TON	30.0  		49.00   	1,470.00
		STRUCTURE	     CY 	1,855.0  	·	35.00  	64,925.00
  35(F) 		STRUCTURE L (SOLDIER PILE	     CY	673.0  		46.00  	30,958.00  
		CONCRETE L (SOLDIER PILE	  CY 	168.0  		184.00  	30,912.00  

Bidder Name: J.F. Shea Construction, Inc. Bidder ID: VC0000022972

+     Item   No.	   Item   Code	   Item   Description			Unit	Price	
  37(F) 	193119  BACKFILI	LEAN CONCRETE L	    CY	132.0  		113.00	14,916.00
  38 	205035   	WOOD MULCH	    CY	120.0		87.00	10,440.00
  39 	260203  AGGREGA' 	CLASS 2 FE BASE (CY)	  -   CY	440.01		36.00	15,840.00
  40 	390132  (TYPE A)	HOT MIX ASPHALT	     TON	390.0  		182.00	70,980.00    70,980.00
41		HOT MIX -OPEN GRADED RADED FRICTION	      TON	 250.0    		270.00    	67,500.00  
   42 		ASPHALT BINDER FHETIC PAVEMENT YER)	    TON	0.5]		622.00	311.00
!   43		GEOSYNTHETIC I INTERLAYER FABRIC)	    SQYD	460.0		1.00	460.00
   44 	030945   	RUMBLE STRIP	    STA	12.0		140.00	1,680.00
  45 	030947  RUMBLE \$	CENTERLINE STRIP	    STA	11.0		140.00	1,540.00
!   46 	397005   	TACK COAT	    TON	2.0		700.00     700.00	1,400.00
+     47 	460210  (SUBHOR	GROUND ANCHOR	     EA	92.0	2	,787.00  	256,404.00  

Bidder Name: J.F. Shea Construction, Inc. Bidder ID: VC0000022972

+				EM TIST		
   Item   No.	   Item   Code	   Item   Description	  Unit			   Item Total   
		STEEL SOLDIER	      LF	2,545.0	111.00	   282,495.00
   49 	<b>4</b> 90 <b>4</b> 00 	24" DRILLED HOLE	    LF	2,561.0	105.00	   268,905.00
	510072  CONCRETI	STRUCTURAL E, BARRIER SLAB	    CY	392.0    392.0	576.00	   225,792.00  
	045295   CONCRET!	STRUCTURAL E, WALER	  CY	164.0	461.00	   75,604.00
	·	MINOR CONCRETE STRUCTURE)	  CY	2.7	4,592.00	12,398.40
[53(F)		BAR REINFORCING (EPOXY COATED)	     LB	31,418.0	2.00	62,836.00
  54(F) 	575004 	TIMBER LAGGING	    MFBN	54.0!	7,208.00	   389,232.00  
  55 	590120  STEEL SO	CLEAN AND PAINT OLDIER PILING	     LS	LUMP SUM	LUMP SUM	   54,604.00  !
  56 	620140  PIPE CU:		    LF	110.0	92.00	10,120.00
		ROCK SLOPE ION (FACING, B) (CY)	    CY	10.0	171.00	1,710.00
   58 	730020  (CURB)	MINOR CONCRETE	    CY	0.4		2,223.20
	750001  IRON ANI	MISCELLANEOUS D STEEL	    LB	239.0	5.00	   1,195.00  

Bidder Name: J.F. Shea Construction, Inc. Bidder ID: VC0000022972

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   Item   No.		-				Price	   Item Total   
   60 	820134  (TYPE P)		     EA	1.0		110.00	110.00
61	839543  RAILING	TRANSITION (TYPE WB-31)	     EA	1.0		6,578.00	   6,578.00  
   62 		ALTERNATIVE ERMINAL SYSTEM	     EA	1.0		4,934.00	4,934.001
	•	CALIFORNIA ST-10 RAIL (MODIFIED)	       LF	502.0  		338.00	   169,676.00
     64 	840504  TRAFFIC		       LF	4,560.0    4		1.50	   6,840.00
65		PAVEMENT MARKER EFLECTIVE-RECESSE	-	94.0    94.0		21.00	   1,974.00  
66	999990   	MOBILIZATION	     LS	LUMP SUM	LUMP	SUM	292,156.00
T	otal Bid		<b></b>	 			\$3,548,901.00

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION Contract No.: 01-0B2804 Project ID: 0112000112 Bidder: J.F. Shea Construction, Inc. Bidder ID: VC0000022972

TOTAL BID

TOTAL BID FOR BID ITEMS: \$3,098,901.00 |
TOTAL BID FOR TIME: 150 X \$3,000.00 = \$450,000.00 |

WORKING DAYS BID (Do not bid less than 105 days and not more than 150 |

TOTAL BID FOR COMPARISON (COST PLUS TIME): \$3,548,901.00 |

Bidder Name: J.F. Shea Construction, Inc. Bidder ID: VC0000022972

DES-OE-0102.2C (REV 03/2015)

Bidding Firm: J.F. Shea Construction, Inc.

List this sub? Yes

1) Business Name M & S Environmental Landscapes, Inc. Location City Redding State CA California Contractor License Number Public Works Contractor Registration Number 1000010084 Portion of Work Subcontracted:

Item % Description

14 100 TEMPORARY MULCH

38 100 WOOD MULCH

List this sub? Yes

2) Business Name Apply-A-Line, Inc. Location City Anderson State CA California Contractor License Number Public Works Contractor Registration Number 1000003300 Portion of Work Subcontracted:

Item % Description

- 1 52 LEAD COMPLIANCE PLAN Partial
- 5 100 TEMPORARY PAVEMENT MARKING (PAINT)
- 6 100 TEMPORARY TRAFFIC STRIPE (PAINT)
- 7 100 CHANNELIZER (SURFACE MOUNTED)
- 23 100 REMOVE PAINTED PAVEMENT MARKING

List this sub? Yes

3) Business Name Apply-A-Line, Inc. Location City Anderson State CA California Contractor License Number 562762 Public Works Contractor Registration Number 1000003300 Portion of Work Subcontracted:

Item % Description

- 24 100 REMOVE THERMOPLASTIC TRAFFIC STRIPE
- 25 100 REMOVE PAVEMENT MARKER
- 44 100 RUMBLE STRIP
- 45 100 CENTERLINE RUMBLE STRIP
- 64 100 4" THERMOPLASTIC TRAFFIC STRIPE

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Bidder Name: J.F. Shea Construction, Inc.

Bidder ID:VC0000022972

DES-OE-0102.2C (REV 03/2015)

Bidding Firm: J.F. Shea Construction, Inc.

List this sub? Yes

4) Business Name Apply-A-Line, Inc.
Location City Anderson State CA
California Contractor License Number 562762
Public Works Contractor Registration Number 1000003300
Portion of Work Subcontracted:

Item % Description
65 100 PAVEMENT MARKER (RETROREFLECTIVE-RECESSED)

List this sub? Yes

5) Business Name Spencer Electric
Location City Cutten State CA
California Contractor License Number 917603
Public Works Contractor Registration Number 1000000028
Portion of Work Subcontracted:

Item % Description
8 100 TEMPORARY SIGNAL SYSTEM

List this sub? Yes

6) Business Name T & S DVBE, Inc.
Location City Susanville State CA
California Contractor License Number 983087
Public Works Contractor Registration Number 1000007688
Portion of Work Subcontracted:

Item % Description

- 3 100 TRAFFIC CONTROL SYSTEM
- 2 100 CONSTRUCTION AREA SIGNS
- 9 100 PORTABLE CHANGEABLE MESSAGE SIGN (LS)

Bidder Name: J.F. Shea Construction, Inc.

Bidder ID:VC0000022972

DES-OE-0102.2C (REV 03/2015)

Bidding Firm: J.F. Shea Construction, Inc.

#### List this sub? Yes

7) Business Name Camblin Steel Service, Inc.
Location City Rocklin State CA
California Contractor License Number 218839
Public Works Contractor Registration Number 1000003852
Portion of Work Subcontracted:

Item % Description

- 50 29 STRUCTURAL CONCRETE, BARRIER SLAB Partial
- 53 100 BAR REINFORCING STEEL (EPOXY COATED) (WALER)
- 63 3 CALIFORNIA ST-10 BRIDGE RAIL (MODIFIED) Partial

## List this sub? Yes

8) Business Name APEX Fence Co., Inc.
Location City Anderson State CA
California Contractor License Number 269127
Public Works Contractor Registration Number 1000007379
Portion of Work Subcontracted:

Item % Description

- 60 100 OBJECT MARKER (TYPE P)
- 61 100 TRANSITION RAILING (TYPE WB-31)
- 62 100 ALTERNATIVE FLARED TERMINAL SYSTEM
- 63 97 CALIFORNIA ST-10 BRIDGE RAIL (MODIFIED) Partial
- 66 1.9 MOBILIZATION Partial

# List this sub? Yes

9) Business Name S.T. Rhoades Construction, Inc.
Location City Redding State CA
California Contractor License Number 930684
Public Works Contractor Registration Number 1000008025
Portion of Work Subcontracted:

- Item % Description
  - 40 100 HOT MIX ASPHALT (TYPE A)
  - 41 100 HOT MIX ASPHALT-OPEN GRADED (OPEN GRADED FRICTION COURSE)
  - 46 100 TACK COAT
  - 66 7.4 MOBILIZATION Partial

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION Project ID: 0112000112 Contract No.: 01-0B2804

Bidder Name: J.F. Shea Construction, Inc.

Bidder ID: VC0000022972

DES-OE-0102.2C (REV 03/2015)

Bidding Firm: J.F. Shea Construction, Inc.

List this sub? Yes

10) Business Name Jarrett Foundations, Inc. Location City McClellan State CA California Contractor License Number 999006 Public Works Contractor Registration Number 1000005990 Portion of Work Subcontracted:

Item % Description 49 89 24" DRILLED HOLE - Partial

List this sub? Yes

11) Business Name AVAR Construction, Inc. Location City Fremont State CA California Contractor License Number 906815 Public Works Contractor Registration Number 1000002298 Portion of Work Subcontracted:

Item % Description 47 100 GROUND ANCHOR (SUBHORIZONTAL) 66 9 MOBILIZATION - Partial

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Contract No.: 01-0B2804 Project ID: 0112000112
Bidder Name:J.F. Shea Construction, Inc. Bidder ID:VC0000022972
OPT OUT OF PAYMENT ADJUSTMENTS FOR PRICE INDEX FLUCTUATIONS
DES-OE-0102.12A(REV. 8/2012)

To opt out of payment adjustments for price index fluctuations as specified, complete this form.

I opt out of the payment adjustments for price index fluctuations.

Enter Date and Name to opt out of payment adjustments for price index fluctuations.

Date: 05/10/16 Bidder's Name: Ed Kernaghan - J.F. Shea Construction, Inc.

Signature:

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

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Bidder Name: J.F. Shea Construction, Inc. Bidder ID: VC0000022972

SMALL BUSINESS STATUS
DES-OE-0102.4 (REV 3/2008)

Are you certified as a "Small Business" by the Office of Small Business and DVBE Services of the Department of General Services of the State of California?:

YES/NO: No Certification number? :

Note: This small business questionnaire is included for statistical reporting

only.

Page 17

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Bidder Name: J.F. Shea Construction, Inc.

Bidder ID:VC0000022972

## CERTIFICATIONS

FEDERAL-AID PROJECTS DISCLOSURE OF LOBBYING ACTIVITIES CERTIFICATION Bidder certifies, to the best of his or her knowledge and belief:

- 1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- 2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form LLL, "Disclosure of Lobbying Activities," in conformance with its instructions.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 USC 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Bidder also agrees by submitting a bid that it must require the language of this certification be included in subcontracts over \$100,000 and these subcontractors shall certify and disclose.

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 01-0B2804 Project ID: 0112000112

Bidder Name: J.F. Shea Construction, Inc. Bidder ID: VC0000022972 INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

- 1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence, the outcome of a covered Federal action. 2. Identify the status of the covered Federal action.
- 3. Identify the appropriate classification of this report. If this is a followup report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last, previously submitted report by this reporting entity for this covered Federal action.
- 4. Enter the full name, address, city, State, and zip code of the reporting entity. Include Congressional District if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
- 5. If the organization filing the report in item 4 checks "Subawardee," then enter the full name, address, city, State and zip code of the prime Federal recipient. Include Congressional District if known.
- 6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
- 7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans and loan commitments.
- 8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitation for Bid (IFB) number; grant announcement number, the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001."
- 9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitments for the prime entity identified in item 4 or 5.
- 10.(a) Enter the full name, address, city, State and zip code of the lobbying registrant under the Lobbying Disclosure Act of 1995 engaged by the reporting entity identified in item 4 to influence the covered Federal action.
- (b) Enter the full names of the individual(s) performing services and include full address if different from 10(a). Enter Last Name, First Name, and Middle Initial (MI).
- 11. The certifying official shall sign and date the form, print his/her name title, and telephone number.

Bidder Name: J.F. Shea Construction, Inc.

Bidder ID:VC0000022972

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is OMB No. 0348-0046. Public reporting burden for this collection of information is estimated to average 10 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, DC 20503.

Page 20

Bidder Name: J.F. Shea Construction, Inc.

Bidder ID: VC0000022972

DISCLOSURE OF LOBBYING ACTIVITIES

Approved by OMB 0348-0046

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352 No

- 1. Type of Federal Action:
- 2. Status of Federal Action:
- 3. Report Type:

For Material Change Only:

Year

Ouarter

Date of last report

4. Name and Address of Reporting Entity:

Tier ,if known

Congressional District, if known:

5. If Reporting Entity in No. 4 is Subawardee Enter Name and Address of Prime:

Congressional District, if known:

- 6. Federal Department/Agency:
- 7. Federal Program Name/Description:

CFDA Number, if applicable

- 8. Federal Action Number, if known:
- 9. Award amount, if known (\$):
- 10. a. Name and Address of Lobby Registrant (if individual, last name, first name, MI):
  - b. Individuals Performing Services (including address if different from No. 10a) (last name, first name, MI):
- 11. Information requested through this form is authorized by Title 31 U.S.C. Section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Signature:	:	
-		

Print Name: Ed Kernaghan Title: Vice President

Telephone No.: 530-246-4292

DATE: 05/10/2016

Federal Use Only:

Authorized for Local Reproduction Standard Form - LLL(Rev. 7-97)

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STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 01-0B2804 Project ID: 0112000112

Bidder Name: J.F. Shea Construction, Inc. Bidder ID: VC0000022972

#### EOUAL EMPLOYMENT OPPORTUNITY REGULATION CERTIFICATION

Bidder J.F. Shea Construction, Inc., proposed subcontractor, certifies that he has participated in a previous contract or subcontract subject to the equal opportunity clauses, as required by Executive Orders 10925, 11114, or 11246, and that, if required, he has filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance, a Federal Government contracting or administering agency, or the former President's Committee on Equal Employment Opportunity, all reports due under the filing requirements.

Notes:

The above certification is required by the Equal Employment Opportunity Regulations of the Secretary of Labor (41 CFR 60-1.7(b) (1)), and must be submitted by bidders and proposed subcontractors only in connection with contracts and subcontracts which are subject to the equal opportunity clause. Contracts and subcontracts which are exempt from the equal opportunity clause are set forth in 41 CFR 60-1.5. (Generally only contracts or subcontracts of \$10,000 or under are exempt.)

Currently, Standard Form 100 (EEO-1) is the only report required by the Executive Orders or their implementing regulations.

Prime contractors and subcontractors who have participated in a previous contract or subcontract subject to the Executive Orders and have not filed the required reports should note 41 CFR 60-1.7(b)(1) prevents the award of contracts and subcontracts unless the Contractor submits a report covering the delinquent period or such other period specified by the Federal Highway Administration or by the Director, Office of Federal Contract Compliance, U.S. Department of Labor.

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Bidder Name: J.F. Shea Construction, Inc. Bidder ID: VC0000022972

TITLE 49, CODE OF FEDERAL REGULATIONS, PART 29, DEBARMENT AND SUSPENSION CERTIFICATION

Bidder, under penalty of perjury, certifies that, except as noted below, it or any other person associated therewith in the capacity of owner, partner, director, officer, manager:

is not currently under suspension, debarment, voluntary exclusion, or determination of ineligibility by any federal agency;

has not been suspended, debarred, voluntarily excluded or determined ineligible by any federal agency within the past 3 years;

does not have a proposed debarment pending; and

has not been indicted, convicted, or had a civil judgement rendered against it by a court of competent jurisdiction in any matter involving fraud or official misconduct within the past 3 years.

If there are any exceptions to this certification, insert the exceptions in the following space.

Exceptions will not necessarily result in denial of award, but will be considered in determining bidder responsibility. For any exception noted above, indicate below to whom it applies, initiating agency, and dates of action.

# UNDOCUMENTED ALIENS EMPLOYMENT

Under Pub Cont Code  $\Phi$  6101, the Bidder certifies compliance with state and federal law respecting the employment of undocumented aliens.

Contract No. 01 002004

Bidder Name: J.F. Shea Construction, Inc. Bidder ID: VC0000022972

#### NONCOLLUSION

NONCOLLUSION AFFIDAVIT TO BE EXECUTED BY BIDDER AND SUBMITTED WITH BID Under PCC 7106 and 23 USC 112, the bidder declares as follows:

State of CA County of Shasta

Name Ed Kernaghan,

being first duly sworn, deposes and says that he or she is

Vice President of

J.F. Shea Construction, Inc.

the party making the foregoing bid that the bid is not made in the interest of, or on behalf of, any undisclosed person, partnership, company, association, organization, or corporation; that the bid is genuine and not collusive or sham; that the bidder has not directly or indirectly induced or solicited any other bidder to put in a false or sham bid, and has not directly or indirectly colluded, conspired, connived, or agreed with any bidder or anyone else to put in a sham bid, or that anyone shall refrain from bidding; that the bidder has not in any manner, directly or indirectly, sought by agreement, communication, or conference with anyone to fix the bid price of the bidder or any other bidder, or to fix any overhead, profit, or cost element of the bid price, or of that of any other bidder, or to secure any advantage against the public body awarding the contract of anyone interested in the proposed contract; that all statements contained in the bid are true; and, further, that the bidder has not, directly or indirectly, submitted his or her bid price or any breakdown thereof, or the contents thereof, or divulged information or data relative thereto, or paid, and will not pay, any fee to any corporation, partnership, company association, organization, bid depository, or to any member or agent thereof to effectuate a collusive or sham bid.

### CHILD SUPPORT COMPLIANCE ACT

Under Pub Cont Code ♦ 7110, the contractor acknowledges that:

- The contractor recognizes the importance of child and family support obligations and shall fully comply with all applicable state and federal laws relating to child and family support enforcement, including, but not limited to, disclosure of information and compliance with earnings assignment orders, as provided in Chapter 8 (commencing with section 5200) of Part 5 of Division 9 of the Family Code; and
- 2. The contractor to the best of its knowledge is fully complying with the earnings assignment orders of all employees and is providing the names of all new employees to the New Hire Registry maintained by the Employment Development Department.

## NATIONAL LABOR RELATIONS BOARD

Under Pub Cont Code ♦ 10232, the contractor, swears under penalty of perjury, that no more than one final unappealable finding of contempt of court by a federal court has been issued against the contractor within the immediately preceding two year period because of the contractor's failure to comply with an order of a federal court which orders the contractor to comply with an order of the National Labor Relations Board.

Bidder Name: J.F. Shea Construction, Inc. Bidder ID: VC0000022972

#### VIOLATION OF LAW OR A SAFETY REGULATION

Under Pub Cont Code ♦ 10162, the Bidder must complete, under penalty of perjury, the following questionnaire:

Has the Bidder, any officer of the Bidder, or any employee of the Bidder who has a proprietary interest in the Bidder, ever been disqualified, removed, or otherwise prevented from bidding on, or completing a federal, state, or local government project because of a violation of law or a safety regulation? No

If the answer is yes, explain the circumstances in the following space.

#### ANTITRUST LAW

Under Pub Con Code ♦ 10285.1, the Bidder declares under penalty of perjury under the laws of the State of California that the Bidder Has Not been convicted within the preceding three years of any offenses referred to in that section, including any charge of fraud, bribery, collusion, conspiracy, or any other act in violation of any state or federal antitrust law in connection with the bidding upon, award of, or performance of, any public works contract, as defined in Pub Cont Code ♦ 1101, with any public entity, as defined in Pub Cont Code ♦ 1100, including the Regents of the University of California or the Trustees of the California State University. The term "Bidder" includes any partner, member, officer, director, responsible managing officer, or responsible managing employee thereof, as referred to in Section 10285.1.

If the Bidder has been convicted of an offense within the past 3 years, provide the conviction details including the date and ultimate resolution of each conviction in the space below.

# PERMITS, LICENSES, AGREEMENTS, CERTIFICATIONS, AND RAILROAD RELATIONS AND INSURANCE REQUIREMENTS

Bidder acknowledges that permits, licenses, agreements, certifications, and the requirements in the document titled "Railroad Relations and Insurance Requirements" are components of the Contract under section 5-1.02 of the Standard Specifications.

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION
Contract No.: 01-082804 Project ID: 0112000112

Bidder Name: I F. Shee Construction Inc.

Bidder Name: J.F. Shea Construction, Inc. Bidder ID: VC0000022972

## BIDDER RESPONSIBILITY QUESTIONNAIRE

Failure to truthfully answer the following questions will result in a finding that the bid is nonresponsive. The Bidder must complete, under penalty of perjury, the following questionnaire:

- 1. Within the past 10 years, has the Bidder been found to be a nonresponsible bidder by any public entity, including federal, State, local, or regional entities?

  No
- 2. Within the past 10 years, have any of the Bidder's officers or employees with a proprietary interest in the Bidder been determined to be a nonresponsible bidder by a public entity, including federal, State, local or regional entities?

  No
- 3. Is there any officer or employee of the Bidder who now has or has had any proprietary interest in another company that bid or bids on public works projects whose company has been determined to be a nonresponsible bidder by any public entity, including federal, State, local, or regional entities?

  No
- 4. If the answer to any of the 3 preceding questions is yes, disclose all pertinent details of the determination of nonresponsibility, including:
- 4.1. Date of each nonresponsibility determination
- 4.2. Name of each public agency issuing the nonresponsibility determination and a contact person at that agency who would have information about the determination
- 4.3. Contract number for each nonresponsibility determination

END CERTIFICATIONS

Contract No. 01-0B2804
Bond No.
We
as Principal, and
as Surety are bound unto the State of California, Department of Transportation hereafter referred to as "Obligee", in the penal sum of ten percent (10%) of the total amount of the bid of the Principal submitted to the Obligee for the work described below, for the payment of which sum we bind ourselves, jointly and severally,
THE CONDITION OF THIS OBLIGATION IS SUCH, THAT:
WHEREAS, the Principal is submitting a bid to the Obligee, for CONSTRUCTION ON STATE HIGHWAY IN
DEL NORTE COUNTY ABOUT 10 MILES SOUTH OF CRESCENT CITY AT 2.8 MILES SOUTH OF MILL CREEK CAMPGROUND In District 01 on Route 101
for which bids are to be opened at 1727 30th Street, Sacramento CA 95816 on 05-10-16.
NOW, THEREFORE, if the Principal is awarded the contract and, within the time and manner required under the specifications, after the prescribed forms are presented to him for signature, enters into a written contract, in the prescribed form, in accordance with the bid, and files two bonds with the Obligee, one to guarantee faithful performance (if specified in the contract) of the contract and the other to guarantee payment for labor and materials as provided by law, then this obligation shall be null and void; otherwise, it shall remain in full force.
In the event a suit is brought upon this bond by the Obligee and judgement is recovered, the Surety shall pay all costs incurred by the Obligee in such suit, including a reasonable attorney's fee to be fixed by the court.
Dated:, 20
Principal
Surety
By
Attorney-in-Fact

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

BIDDER'S BOND

DES-OE 0102.3(REV 3/2008)

Correspondence or claims relating to the following address:	this bond should be sent to the surety at
	<u> </u>
CALIFORNIA ALL-PURPOS	E ACKNOWLEDGEMENT
State of California	
County of	
On this before	me,
	Here insert Name and Title of the Officer
personally appeared	
Name (	s) of Signer(s)
that he/she/they executed the same in and that by his/her/their signature(s entity upon behalf of which the perso	der the laws of the State of California
	WITNESS my hand and official seal
SIGNA	TURE
	Signature of Notary Public
(SEAL)	
(JEAL)	



Insurance Doci Manag Syst Questions?

\*\*Click here to leave us a message.\*\*\*

State of California, Department of Transportation - Chris Rice

Live chat by LivePerson

**Bond Number: SCA16961311** 

## **Contractor Information**

Principal: J. F. Shea Construction, Inc. 530-246-4292

Address: P. O. Box 494519 Redding California 96049 United States

Contractor's State Vendor ID Number: VC0000022972

**Owner/Obligee Information** 

**Bond Form:** Bid Bond in accordance with Contract Specifications **Owner / Obligee:** State of California, Department of Transportation

Address: 1727 30th Street, Bidders' Exchange, MS 26 Sacramento California

95816 United States

**Bond Information** 

Surety: Liberty Mutual Insurance Company

**Rider Present:** Click here to view **Amount of Bid Security:** 10% **Contract ID Number:** 01-0B2804

**Description of Job:** Reconstruct Roadway and Construct Retaining Wall.

CONSTRUCTION ON STATE HIGHWAY IN DEL NORTE COUNTY ABOUT 10 MILES SOUTH OF CRESCENT CITY AT 2.8 MILES SOUTH OF MILL CREEK CAMPGROUND. District-

County-Route-Post Mile: 01-DN-101-17.4.

**View AMBest Information** 

View Surety Profile

Treasury List

## **Primary Agency:**

Aon Risk Insurance Services West, Inc. Power of Attorney Limited to: No Stated Limit

Executed By:

Simone Gerhard - 4/26/2016 2:19:50 PM ET

Phone: 213-630-1386

Email: simone.gerhard@aon.com

Know all men by these presents that Liberty Mutual Insurance Company, a Corporation duly organized under the laws of the State of Massachusetts, are held and firmly bound unto the above owner/obligee by this transmission. The surety agrees to waive the Statute of Fraud defense and further agrees that the owner/obligee is a third party beneficiary of the waiver for the purposes of enforcing this bid bond.

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